



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Volker HARLE *et al.*

Art Unit: 2811

Serial No.: 09/913,394

Examiner: To be assigned

Filing Date: February 5, 2002

Docket No.: P1999,0003USN

For: Optical Semiconductor Device with
Multiple Quantum Well Structure

Client No.: Epp.024

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
United States Patent & Trademark Office
Washington DC 20231

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REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir or Madam:

Via Air Mail, Pages 7

Applicants hereby request that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicants, a copy of which is attached hereto, has the following inaccuracies appearing thereon as indicated by quotation marks and herein requested corrections for which are indicated by double dashes. Please change the *Applicants*:

"Hans-Jurgen Lugauer, Werzenbach, GERMANY" to --Hans-Jurgen Lugauer,
Wenzenbach, GERMANY--; and

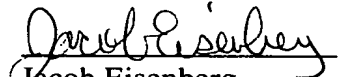
"Stefan Bader, Deutschland, GERMANY" to --Stefan Bader, Regensburg, GERMANY--.

The Patent and Trademark Office is invited to contact the undersigned for any reason which would expedite the handling of this matter. In the event that the Patent and Trademark Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No.: 501871** referencing docket no.:

P1999,0003USN. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Date: 23 April, 2002
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Customer Number: 000026461

Respectfully submitted,


Jacob Eisenberg
Registration No. 43,410

Enclosures: Official Filing Receipt (copy),
transmittal form (original), and
stamped return post card (copy),



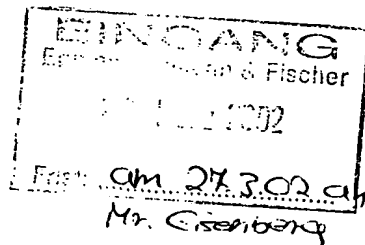
UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/913,394	02/05/2002	2811	1210	P1999,0003USN	3	25	1

026461

EPHING, HERMANN & FISCHER
RIDLERSTRASSE 55
MUNCHEN, BAVARIA, D-80339
GERMANY

**FILING RECEIPT**

OC000000007517607

CONFIRMATION NO. 8326

Date Mailed: 03/04/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

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Berthold Hahn, Hemau, GERMANY;
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Alfred Lell, Maxhutte-Haidhof, GERMANY;
Andreas Weimer, Regensburg, GERMANY;

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Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/DE00/04089 11/20/2000

Foreign Applications

GERMANY 199 55 747.0 11/19/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000**Non-Publication Request:** No**Early Publication Request:** No

Title

Optical semiconductor device comprising a multiple quantum well structure

Preliminary Class

257

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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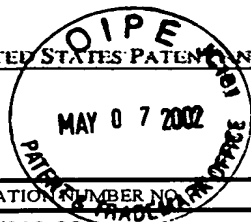
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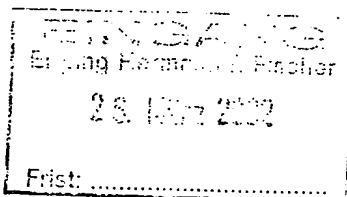
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U.S. APPLICATION NUMBER NO. 09/913,394	FIRST NAMED APPLICANT Volker Harle	ATTY. DOCKET NO. P1999,0003USN
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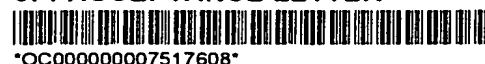
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INTERNATIONAL APPLICATION NO. PCT/DE00/04089	
I.A. FILING DATE 11/20/2000	PRIORITY DATE 11/19/1999

CONFIRMATION NO. 8326
 371 ACCEPTANCE LETTER



OC000000007517608

Date Mailed: 03/04/2002

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>02/05/2002</u>	<u>02/05/2002</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF RECEIPT OF ALL 35 U.S.C. REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

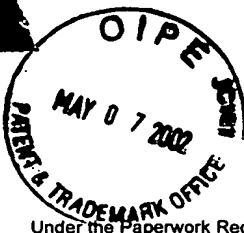
Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

BARBARA A CAMPBELL

Telephone: (703) 305-3631

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FORM PCT/DO/EO/903 (371 Acceptance Notice)



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Approved for use through 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/913,394	
	Filing Date	02/05/2002	
	First Named Inventor	Volker Harle	
	Group Art Unit	2811	
	Examiner Name	To be assigned	
Total Number of Pages in This Submission	7	Attorney Docket Number	P1999,0003USN

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; transform: rotate(-5deg); display: inline-block;">RECEIVED JUN 18 2002</div>
Remarks		
Request for Corrected Filing Receipt, Official Filing Receipt, and Return Card		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Epping, Hermann & Fischer, Attn: Jacob Eisenberg Customer Number 26461
Signature	<i>Jacob Eisenberg</i>
Date	23 April, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 			
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